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## UTILITY PATENT APPLICATION **TRANSMITTAL**

| Attorney Docket No. First Inventor |                 | MR1715-73<br>CHANG-CHI LEE, ET AL. |  |  |  |  |  |
|------------------------------------|-----------------|------------------------------------|--|--|--|--|--|
|                                    |                 |                                    |  |  |  |  |  |
|                                    | as Mail Label N |                                    |  |  |  |  |  |

| (Only for new nonprovisional applications under 37 CFR 1.53(b))  |  |   |   | Mail Label No.                                   |            |                    |                     |  |  |
|--|--|---|---|--|------------|--------------------|---------------------|--|--|
| APPLICATION ELEMENTS   |  |   | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application                             |  |            |                    |                     |  |  |
| See MPEP chapter 600 concerning utility patent application contents.   |  |   |   | Washington, DC 20231                             |            |                    |                     |  |  |
| Fee Transmittal Form (e.g., PTO/SB/17)  (Submit an original and a duplicate for fee processing)                  |  |   | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)                        |  |            |                    |                     |  |  |
| 2. Applicant claims small entity status. See 37 CFR 1.27.  |  |   | 8. Nucleotide and/or Amino Acid Sequence Submission   |  |            |                    |                     |  |  |
| Specification [Total Pages 12 ]  |  |   | (if applicable, all necessary)  a. Computer Readable Form (CRF)                                   |  |            |                    |                     |  |  |
| - Descriptive title of the invention   |  |   |   | b. Specification Sequence Listing on:            |            |                    |                     |  |  |
| <ul> <li>Cross Reference to Related Applications</li> <li>Statement Regarding Fed sponsored R &amp; D</li> </ul> |  |   | i. CD-ROM or CD-R (2 copies); or  |  |            |                    |                     |  |  |
| <ul> <li>Reference to sequence listing, a table,<br/>or a computer program listing appendix</li> </ul>           |  |   |   | ii. paper  |            |                    |                     |  |  |
| - Background of the Invention - Brief Summary of the Invention   |  |   |   | c. Statements verifying identity of above copies |            |                    |                     |  |  |
|  | of the Drawings (if filed)   |   | ACCOMPANYING APPLICATION PARTS  |  |            |                    |                     |  |  |
| - Claim(s)   |  |   | 9. Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of                |  |            |                    |                     |  |  |
| - Abstract of the Disclosure   |  |   |   | (when there is an assignee) Attorney             |            |                    |                     |  |  |
| 4. Drawing(s) (35 U.S  |  | }   | 111. L  | English Transi                                   |            | nent ( <i>II t</i> | ☐ Copies of IDS     |  |  |
| 5. Oath or Declaration [Total Pages 1]   |  |   |   | Statement (IDS)/PTO-1449 Citations               |            |                    |                     |  |  |
| a. Newly execut  |  | 13. Preliminary Amendment  Return Receipt Postcard (MPEP 503)   |   |  |            |                    |                     |  |  |
| <u> </u>   | on/divisional with Box 18 completed)   |   | (Should be specifically itemized)   |  |            |                    |                     |  |  |
| Signed state   | ON OF INVENTOR(S) ement attached deleting inventor(s)  |   | Certified Copy of Priority Document(s) (if foreign priority is claimed)                           |  |            |                    |                     |  |  |
| named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).   |  |   | 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 |  |            |                    |                     |  |  |
| 6. Application Data S  | Sheet. See 37 CFR 1.76   |   | or its equivalent.  |  |            |                    |                     |  |  |
|  | supply:  | the requisite information below and in a preliminary amendment, |   |  |            |                    |                     |  |  |
| or in an Application Data Shee   |  | suppiy  | ine requ  | nske unormation be                               | now and in | а ргенин           | nary amonamone,     |  |  |
| Continuation Divisional Continuation-in-part (CIP) of prior application No.:/                                    |  |   |   |  |            |                    |                     |  |  |
|  | NAL APPS only: The entire disclosure   |   |   | lication, from which a                           |            | eclaratio          |                     |  |  |
|  | the disclosure of the accompanying cor<br>elied upon when a portion has been ina   |   |   |  |            |                    | rated by reference. |  |  |
|  | 19. CORRESPO   | NDEN  | CE ADE  | RESS   |            |                    |                     |  |  |
| Customer Number or Bar Coo   | ie Label   |   |   | or _   | Corresp    | ondence a          | ddress below        |  |  |
| Name   | Morton J. Rosenberg  | 045   | 86  |  |            |                    |                     |  |  |
|  | THE CONTRACTOR OF THE CONTRACT | TRADE   | MARK-OF   | <del>Tee</del>                                   |            |                    |                     |  |  |
|  | Rosenberg, Klein & Lee  3458 Ellicott Center Drive - Suite 101   |   |   |  |            |                    |                     |  |  |
|  | Ellicott City  | $\overline{}$   | <br>tate  | MD   | Zip        | Code               | 21043               |  |  |
|  |  | Teleph  | none  | 410-465-667                                      | 78         | Fax                | 410-461-3067        |  |  |
| Name (Print/Type)  | Morton J. Rosenberg  |   | Reg   | istration No. (Atto                              | mey/Agen   | t)                 | 26,049              |  |  |
| Signature  | Matin  | 1   | لم  |  | Date       | 19                 | 15/03               |  |  |

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03

Date

Complete if Known

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| LEE IKANOMIIIA  | Application Number   |            |  |  |  |  |  |
|---|--|------------|--|--|--|--|--|
| for FY 2003   | Filing Date  |            |  |  |  |  |  |
| Effective 01/01/2003. Patent fees are subject to annual revision.   | First Named Inventor CHANG-CHI LEE, ET AL.   |            |  |  |  |  |  |
|   | Examiner Name  | 3          |  |  |  |  |  |
| ✓ Applicant claims small entity status. See 37 CFR 1.27   | Art Unit   |            |  |  |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 555.00   | Attorney Docket No. MR1715-73  |            |  |  |  |  |  |
| METHOD OF PAYMENT (check all that apply)  | FEE CALCULATION (continued)  |            |  |  |  |  |  |
| Check Credit card Money Other None  | 3. ADDITIONAL FEES   |            |  |  |  |  |  |
| Deposit Account:  | Large Entity   Small Entity  | ł          |  |  |  |  |  |
| Deposit 40 0044   | Fee Fee Fee Fee Fee Description  Code (\$) Code (\$) Fee Paid  | _ }        |  |  |  |  |  |
| Account Number 18-2011  | 1051 130 2051 65 Surcharge - late filing fee or oath   | ]          |  |  |  |  |  |
| Deposit<br>Account  | 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet   |            |  |  |  |  |  |
| Name  | 1053 130 1053 130 Non-English specification  | 41         |  |  |  |  |  |
| The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination  | ┵┫         |  |  |  |  |  |
| ✓ Charge any additional fee(s) during the pendency of this application  | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action   | 11         |  |  |  |  |  |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.             | 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action  |            |  |  |  |  |  |
| FEE CALCULATION   | 1251 110 2251 55 Extension for reply within first month  |            |  |  |  |  |  |
| 1. BASIC FILING FEE   | 1252 410 2252 205 Extension for reply within second month  | $\exists$  |  |  |  |  |  |
| Large Entity Small Entity   | 1253 930 2253 465 Extension for reply within third month   | -11        |  |  |  |  |  |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)  | 1254 1,450 2254 725 Extension for reply within fourth month  | 41         |  |  |  |  |  |
| 1001 750 2001 375 Utility filing fee \$ 375.00  | 1255 1,970 2255 985 Extension for reply within fifth month   | 11         |  |  |  |  |  |
| 1002 330 2002 165 Design filing fee   | 1401 320 2401 160 Notice of Appeal   | ┥┃         |  |  |  |  |  |
| 1003 520 2003 260 Plant filing fee  | 1402 320 2402 160 Filing a brief in support of an appeal   | 41         |  |  |  |  |  |
| 1004 750   2004 375   Reissue filing fee  | 1403 280 2403 140 Request for oral hearing   | ┪          |  |  |  |  |  |
| 1005 160 2005 80 Provisional filing fee   | 1451 1,510 1451 1,510 Petition to institute a public use proceeding  1452 110 2452 55 Petition to revive - unavoidable | ٦)         |  |  |  |  |  |
| SUBTOTAL (1) (\$) 375.00  |  | 71         |  |  |  |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   |  | ┪┫         |  |  |  |  |  |
| Fee from Ext <u>ra Claims below</u> Fee Paid  |  | 71         |  |  |  |  |  |
| Total Claims 6 -20** = 0 X 9 = 0  | 1503 630 2503 315 Plant issue fee  | 7]         |  |  |  |  |  |
| Independent 1 - 3** = 0 X 42 = 0  | 1460 130 1460 130 Petitions to the Commissioner  | ]          |  |  |  |  |  |
| Multiple Dependent 140 = 140  | 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)  | ]          |  |  |  |  |  |
| Large Entity   Small Entity   Fee Fee Fee Fee Description   | 1806 180 1806 180 Submission of Information Disclosure Stmt  | <b>-11</b> |  |  |  |  |  |
| Code (\$) Code (\$)   | 8021 40 Recording each patent assignment per property (times number of properties) \$ 40.00                            |            |  |  |  |  |  |
| 1202 18 2202 9 Claims in excess of 20   | 1809 750 2809 375 Filing a submission after final rejection  | <b>†</b>   |  |  |  |  |  |
| 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid     | (37 CFR 1.129(a)) 1810 750 2810 375 For each additional invention to be  | ┪╏         |  |  |  |  |  |
| 1204 84 2204 42 ** Reissue independent claims   | examined (37 CFR 1.129(b))   | ┪┃         |  |  |  |  |  |
| over original patent 1205 18 2205 9 ** Reissue claims in excess of 20   | 1801 750 2801 375 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination          | -          |  |  |  |  |  |
| and over original patent  | of a design application  | -          |  |  |  |  |  |
| SUBTOTAL (2) (\$) 140.00  | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (5) 40 00   | ╡┇         |  |  |  |  |  |
| **or number previously paid, if greater; For Reissues, see above  | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00  |            |  |  |  |  |  |
| SUBMITTED BY  | (Complete (if applicable)  |            |  |  |  |  |  |
| Name (Print/Type) Morton J. Rosenberg   | Registration No. 26,049 Telephone 410-465-6678   |            |  |  |  |  |  |

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(Attorney/Agent)

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